AUS 1 8 2003

Re-SUBMISSION FROM 8/18/2003 DO NOT ENTER

Substitute for form 1449APTO **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

Approved for use through 10/31/2002. OMB 0651-VC.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete If Known

100/777,817 Art Unit 3714 Mark Sager **Examiner Name** Attorney Docket Number 19538-05688

Sheet 3 of

U.S. PATENT DOCUMENTS						87	2	Æ
		Document No.				9	क	C
Examiner Initials*	Cite No.	Number - Kind Code ² (if known)	Date MM-DD-YYYY		Name of Petenties or Applicant of Cited Document	X A	8	NE
71/03	A1	US-6,424,949	07/23/02	Deaton et al.		٦	200	
						8	- w	

FOREIGN PATENT DOCUMENTS							
		Foreign Patent Document					
Examiner Initials*	Cite No.1	Country Code ³ - Number ⁴ Kind Code ⁸ (if known)	Date MM-DD-YYYY		Name of Patentee or Applicant of Cited Document	7*	
	B 1						

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the erticle (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁴
	C1 /	Control," Operations Research, Vol. 37, No. 2, pp. 183-197, March – April 1989.	
	C2 ~	Bitran, G. R. and Mondschein, S. V., "An Application of Yield Management to the Hotel Industry Considering Multiple Day Stays," Operations Research, Vol. 43, No. 3, pp. 427-443, May - June 1995	
	C3 /	Brumelle, S. L., McGill, J. I., "Airline Seat Allocation with Multiple Nested Fare Classes," Operations Research, Operations Research Society of America, Vol. 41, No. 1, pp. 127-137, January-February 1993.	
	C4	Brumelle, S. L., McGill, J. I., Oum, T. H., Sawaki, K., and Tretheway, M. W., "Allocation of Airline Seats Between Stochastically Dependent Demands," Transportation Science, Operations Research Society of America, Vol. 24, No. 3, pp. 183-192, August 1990.	

		 		•
Examiner Signature	MX	Date Considered		
	7/4		'	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04, Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must procede the serial number of the patent document. "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 16 if possible. "Applicant is to place a check mark here if English tanguage Translation is attached.

PTO/SB/05A (10-01)
Approved for use through 10/31/2002, OMB 0651-0031
U.S. Patent and Trademark Officia; U.S. DEPARTMENT OF COMMERCE ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid CMB control number.

Complete if Known Application No. 09/777,817 INFORMATION DISCLOSURE Filing Date February 5, 2001 STATEMENT BY APPLICANT First Named Inventor John M. Boushy et al. Art Unit 3714 Examiner Name Mark Sager Attorney Docket Number 19538-05688 3 Sheet af

xaminer Initiats*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where	Τ*
	C5 _	Chapman, S. N., and Carmel, J. I., "Demand/Capacity Management in Health Care: An Application of Yield Management," Health Care Management Review, Vol. 17, No. 4, pp. 45-54, Fall 1992	
	C8	Curry, R. E., "Optimal Airline Seat Allocation with Fare Classes Nested by Origins and Destinations," Aeronomics Incorporated, pp. 1-22, June 1989.	ECHAL
	C7 /	Curry, R. E., "Real-Time Revenue Management," Technical Brief, Aeronomics Incorporated, pp. 1-4, Second Quarter 1992.	
	C8	Donaghy, K.; McMahon, U., and McDowell, D., "Yield Management: an overview," International Journal of Hospitality Management, Vol. 14, No. 2, pp. 139-150, 1995	
	C9	Dunn, K. D., and Brooks, D. E., "Profit Analysis: Beyond Yield Management," The Cornell Hotel and Restaurant Administration Quarterly, Vol. 31, No.3, pp. 80-90, November, 1990.	
	C10	Hanks, R. D., Cross, R. G., and Noland, R. P., "Discounting in the Hotel Industry: A New Approach," The Cornell Hotel and Restaurant Administration Quarterly, Vol. 33, No. 1, pp. 15-23, February, 1992.	
	C11	Harris, F. H. and Peacock, P., "Hold My Place, Please" Yield Management Improves Capacity-Allocation Guesswork," Marketing Management, Vol. 4, No. 2., pp. 34-46, Fall 1995.	
	C12 <	"Going for Broke -Casino Hotels Lure \$100,000 Gamblers with Extravagant Suites", Arizona Republic/Phoenix Gazette Newspaper article, Monday March 2, 1987	
	C13	Jauncey, S., Mitchell, I., and Slamet, P., "The Meaning and Management of Yield in Hotels," International Journal of Contemporary Hospitality Management, Vol. 7, No. 4, pp. 23-26, 1995	
	C14 -	Kimes, S. E., "Yield Management: A Tool for Capacity-Constrained Service Firms," Journal of Operations Management, Vol. 8, No. 4, pp. 348-363, October, 1989—	
	C15	Kuyumcu, A. H., "Gaming with Revenue Management," Takus Solutions, Inc., Scorecard Growth Strategies for the Information Age, Technical Brief, pgs. 1-87 Summer, 2000.	RE
	C18_	Lieberman, W. H., "Debunking the Myths of Yield Management" [online] [retrieved pt] 2601-05-15]. Retrieved from the Internet: <url:> O www.abovetheweather.com/ym myths.pdf>. (First appeared in The Cornell FR.A.) Quarterly, pp. 34-41, February, 1993).</url:>	CFINE

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner

Signature

*Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 18 if possible. Applicant is to place a check mark here if English language Translation is attached.

Date Considered

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of

3

Sheet

3

Complete if Known

Application No. 09/777,817

Filing Date February 5, 2001

First Named Inventor John M. Boushy et al.

Art Unit 3714

Examiner Name Mark Sager (5)

Attorney Docket Number 19538-05688

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS). Sile of the article (when appropriate), title of the liem (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published	T ⁶		
	C17	Orkin, E. B., "Boosting Your Bottom Line with Yield Management," The Comell Hotel and Restaurant Administration Quarterly, Vol. 28, No. 4, pp. 52-56, February, 1988.			
	C18	Orkin, E. B., "Strategies for Managing Transient Rates," The Cornell Hotel and Restaurant Administration Quarterly, Vol. 30, No. 4, pp. 34-39, February, 1990.			
	-	Relihan III, WJ., "The Yield-Management Approach to Hotel-Room Pricing," The Comell Hotel and Restaurant Administration Quarterly, Vol. 30, No. 1, pp. 40-45, May, 1989.			
	C20~	Vinod, B., "Reservation Inventory Control Techniques to Maximize Revenues," The Third International Airline Yield Management Conference, December 3, 1990.			
	C21	Weatherford, L. R., "Using Prices More Realistically as Decision Variables in Perishable-Asset Revenue Management Problems," Abstract, Journal of Combinatorial Optimization [online]. October 1997, 1(3):277-304. [retrieved on 2001-05-15]. Retrieved from the Internet: <url: 144927="" http:="" oasis.htm="" www.wkap.nl="">.</url:>			
·	C22	Wollmer, R. D., "An Airline Seat Management Model for a Single Leg Route When Lower Fare Classes Book First," Operations Research, Vol. 40, No. 1, pp. 28-37, January – February 1992.			

19538/05688/SF/5103506.1

AUG 20 2003
TC 3700 MAIL ROOM

Examiner Signature	7//	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional), ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the edge of the Emperor must precede the serial number of the patent document. ⁴Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English tanguage Translation is attached.